

RAPHAEL KAPLINSKY CURRICULUM VITAE

NAME: Raphael Malcolm Kaplinsky

DATE OF BIRTH: 31st December 1946

UNIVERSITY TRAINING: B.A. University of Cape Town with Distinction in Social Anthropology.
M.A. Development Economics, University of Sussex
D.Phil. University of Sussex

SYNOPSIS

I am an Honorary Professor at the Science Policy Research Unit at the University of Sussex, a Professorial Emeritus Fellow at the Institute of Development Studies at the University of Sussex and an Emeritus Professor at the Open University and Research Associate in Policy Research in International Services and Manufacturing (PRISM), University of Cape Town. My professional career has been devoted to an understanding of the character of social, economic and environmental growth and the promotion of more equitable and sustainable paths of development. My research and publications have focused on sustainable and inclusive development, techno-economic paradigms, globalisation and global value chains, productivity and innovation, appropriate technology, the impact of China (particularly on Africa), commodities and the terms of trade, industrial policy, and post-Apartheid transformation in South Africa. This research agenda has been supported by a series of micro and meso level studies in many countries, involving close collaboration with research colleagues, governments, international organisations and civil society organisations. My current research is on the crisis in capitalism seen through the lens of a socio-techno-economic paradigms, and the prospects for a more economically, socially and environmentally sustainable future.

Four themes cross-cut these individual research areas and follow from my experience as a political activist in my country of origin, South Africa during the Apartheid-era. The first is an ongoing concern with equity and sustainable development. The second is the critical importance of political economy and interdisciplinary enquiry to an holistic understanding of what has made the world we live in, and how we might change it for the better. The third is the centrality of technology to human progress – however, technology is socially shaped and innovation must be provided with directionality. Fourth, both because each of us has a responsibility to contribute to a more equitable and sustainable world and because we cannot understand the world from the vantage point of an ivory tower, research cannot be separated from action.

I have sole-authored nine books, co-authored 17 books, edited numerous journal Special Issues, published more than 80 peer-reviewed journal articles and more than 60 book-chapters.

EMPLOYMENT RECORD

2015 ongoing	Honorary Professor, Science Policy Research Unit, University of Sussex
2015 ongoing	Emeritus Professorial Fellow, Institute of Development Studies, University of Sussex
2015 ongoing	Emeritus Professor, Open University
2009 ongoing	Research Associate, PRISM, University of Cape Town
2006-2014	Professor of International Development, The Open University.
1998 - 2006	Professor, Centre for Research in Innovation Management, University of Brighton (half-time)
1979 - 2006	Professor, Institute of Development Studies, University of Sussex (half-time between 1998-2006),
1978	UNIDO Expert, seconded to Ministry of Commerce and Industry. Kenya.
1974-1977	Institute for Development Studies, University of Nairobi.
1970-74	Research Assistant, Institute of Development Studies, University of Sussex
1969-70	Research Assistant, Science Policy Research Unit, University of Sussex.

SUPPORT FOR POLICY DEVELOPMENT AND CAPACITY BUILDING

Extensive experience in providing policy support and capacity development to:

- Governments in Africa, the Caribbean and Southern Europe
- International Organisations (UN Family, World Bank, African Union, EU)
- Civil Society Organisations

SUPPORT FOR THE ACADEMIC COMMUNITY

Panel Member, International Panel on Social Progress (2015-2017)

Assessor, Capturing the Gains Research Network (a multimillion Pounds research network funded by DFID), 2010-2012.

Chair, Advisory Council, Queen Elizabeth House (2007-2010). Member of Advisory Council, 2006-2011

Member, External Project Review Community for the UK Innovative Manufacturing and Construction Research Centre (IMCRC) (2007-2009)

Member Editorial Boards: International Journal of Technological Learning, Innovation and Development; European Journal of Development Research; Perspectives In Agriculture, Veterinary Science, Nutrition and Natural Resources; Innovation and Development; International Journal of Technology Management and Sustainable Development.

Professorial Research Associate, South Africa Labour and Development Unit (SALDRU), University of Cape Town, South Africa (2009 ongoing)

Visiting Professor, University of KwaZulu Natal (1996-2005)

Member of Review Panel of Shell Corporation Sustainable Communities and Environmental Programmes, 2000-2001.

Trustee Mandela Scholarship Fund, 1997-2006

Guest Editor of various issues of World Development, Journal of Development Studies, European Journal of Development Research, Appropriate Technology, IDS Bulletin.

Group Leader and Member of Expert Committee, Commonwealth Ministers Committee on Sanctions against South Africa.

Coordinator, European Association of Development Institutes Science and Technology Working Group, 1986-1987.

Adviser to UK Shadow Minister of Overseas Development, 1986-1987.

PUBLICATIONS

BOOKS AND BOOK-LENGTH REPORTS

Sole Authorship

Sustainable Futures – An Agenda for Action, Cambridge: Polity Press, 2021.

Globalization, Poverty and Inequality: Between a Rock and a Hard Place, Cambridge: Polity Press, 2005. (Reprinted 2008). (Chinese translation Intellectual Property Publishing House, Beijing, 2008).

Easternisation: The Spread of Japanese Management Techniques to Developing Countries, London: UNU/Frank Cass, 1994.

The Economies of Small: Appropriate Technology in a Changing World, London: Intermediate Technology Press, 1990.

Microelectronics and Employment Revisited: A Review, Geneva: ILO, 1987. (Translated into Spanish, *Microelectronica Y Empleo*, Geneva: ILO, 1989).

Automation: The Technology and Society, London: Longmans, London: 1984.

Sugar Processing: The Development of a Third World Technology, London: Intermediate Technology Press, 1983.

Computer Aided Design in a Dynamic World: Electronics, Comparative Advantage and Development, London: Francis Pinter, 1982.

Ownership and Equity in Kenya, 1966-1976, Nairobi: National Christian Council of Kenya, 1979.

Joint Authorship

The Intellectual History of UNIDO: Building Ideas from Data and Practice, Vienna: UNIDO, 2016 (Prime author)

Greening Africa's Industrialization: Economic Report on Africa, 2016, Addis Ababa: United Nations Economic Commission for Africa.

Kaplinsky, R. and M. Morris, *Developing Industrial Clusters and Supply Chains to Support Diversification and Sustainable Development of Exports in Africa*, Cairo: African Export Import Bank, 2014.

Kaplinsky, R, M. Farooki, L. Alcorta and N. Rodousakis, *Promoting Industrial Diversification in Resource Intensive Economies: The Experiences of Sub-Saharan Africa and Central Asia Regions*, Vienna: United Nations Industrial Development Organisation, 2012.

Morris, M., R. Kaplinsky and D. Kaplan, *One Thing Leads to Another: Promoting Industrialisation by Making the Most of Commodities in Sub Sahara Africa*, Lulu.com. 2012.

Farooki, M. Z. and R. Kaplinsky, *The Impact of China on Global Commodity Prices: The Global reshaping of the Commodities Sector*, London: Routledge, 2012. (Chinese translation, 2015)

Barnes, J., J. Bessant and M. Morris, *Manufacturing Excellence in South Africa: CD and Accompanying Manuals*, Output of three-year programme funded by Dept of Science and Technology, 2004.

Barnes, J., J. Bessant and M. Morris, *Successful Manufacturing in a Global Environment: A Resource to Help Facilitate Capability Development in the South African Metals Industry*, Monograph and CD, Pretoria: South African Dept. of Trade and Industry, 2002.

Kaplinsky, R. and M. Morris, *A Handbook for Value Chain Research* <http://www.prism.uct.ac.za/papers/vchnov01.pdf>, (2001) (Spanish translation 'Competitividad: Conceptos y Buenas Practicas, una herramienta de autoaprendiza y consulta', Santiago: Inter-American Development Bank, 2004).

Humphrey J, R. Kaplinsky and P. Saraph, *Corporate Restructuring: Crompton Greaves and the Challenge of Globalisation*, N. Delhi: Sage Publishers, 1988

Joffe, A., D Kaplan, R. Kaplinsky and D Lewis, *Improving Manufacturing Performance: The Report of the ISP*, Cape Town: UCT Press, 1995.

Kaplinsky R with A. Posthuma, *Organisational Change in Zimbabwean Manufacturing*, Report Prepared for United Nations University (INTECH), Maastricht, 1993.

Hoffman, K. and R. Kaplinsky, *Transnational Corporations and the Transfer of New Management Practices to Developing Countries*, Report prepared for the United Nations Centre of Transnational Corporations, 1993.

Hoffman, K. and R. Kaplinsky, *Driving Force: The Global Restructuring of Technology, Labor, and Investment in the Automobile and Components Industries*, Westview Press, Boulder, 1988.

Chisti S. and R. Kaplinsky, *Role of TNCs in India's Exports*, N Delhi: Indian Institute of Foreign Trade, 1981.

Cooper, C., R. M. Bell and R. Kaplinsky, *An International Comparison of the Choice of Manufacturing Techniques in the Production of Cans in Kenya, Tanzania and Thailand*, Geneva: ILO, 1974.

Cooper, C. and R. Kaplinsky, *Secondhand Equipment in a Developing Country: A Study of Jute Processing in Kenya*, Geneva: ILO, 1973

Editor and Contributor

Special Section to Commemorate the 100th Birthday of Christopher Freeman and the 50th Anniversary of Research Policy, *Research Policy*, 2021, (Co-edited with Ed Steinmueller)

One thing leads to another: Commodities, linkages and industrial development, Special Issue, *Resources Policy* (2012), (Co-edited with M Morris and D. Kaplan).

Editor - Special Issue China in Africa: A Relationship in Transition, *European Journal of Development Research*, (2009), Vol. 21, No. 4. (co-edited with O. Ajakaiye)

Editor - Special Issue on Asian Drivers and their Impact on Developing Countries, *World Development* (2008), Vol. 36, No. 2. (Co-edited with Dirk Messner).

Editor - Asian Drivers: Opportunities and Threats, *Special Issue IDS Bulletin*, Vol. 37, No.1, 2006

The Value of Value Chains, *Special Issue IDS Bulletin*, Vol. 32, No.3, 2001 (co-edited with G. Gereffi).

Europe's Next Step: Organisational Innovation, Competition and Employment, with Andreassen L, Coriat B and den Hertog F, 1995, London: Frank Cass. (Joint editor, sole writing of two chapters, joint writing of two chapters).

Editor and Contributor, *IDS Bulletin*, A Policy Agenda for a Post-Apartheid South Africa, Vol 25 No 1, January 1994.

A Strategy for Industrial Restructuring in the Dominican Republic, UNDP/IDS, 1991.

Technology and Development in the Third Industrial Revolution, (Co-edited with C. Cooper), London, Frank Cass. Also published as inaugural edition of *European Journal of Development Research*, Vol 1 No 1.

Editor and Contributor, *IDS Bulletin*, "Industrial Restructuring: Policy Implications for Developing Countries", Vol 21 No 1.

Editor and Contributor *IDS Bulletin*, "Slowdown or Crisis? Restructuring in the 1980s", Vol 16, No 1.

Editor and contributor, *IDS Bulletin*, "Comparative Advantage in an Automating World".

The State of Small Scale Sugar, (Co-edited with two others and contributor), Intermediate Technology Publications, London, 1988.

Cyprus Technology Strategy, UNDP/OPE and Institute of Development Studies, 1988 (with N Clark, C Edquist and D Pimentel).

Third World Industrialisation in the 1980's: Open Economies in a Closing World (Editor and contributor), Special Issue of *Journal of Development Studies*, Vol 21 No 1, January 1984, also published as a book by Frank Cass, London.

Readings on the Multinational Corporation in Kenya, (editor, with two out of seven chapters), Oxford University Press, Nairobi, 1978.

REFEREED JOURNAL ARTICLES

Kaplinsky, R. And E. Kramer-Mbula, 'Innovation and Uneven Development – The Challenge for Low- and Middle-Income Economies', *Research Policy*, 2021. <https://doi.org/10.1016/j.respol.2021.104394>

Kaplinsky, R. and M. Morris, (2019) 'Trade and Industrialisation in Africa: SMEs, Manufacturing and Cluster Dynamics', *Journal of African Trade*, Vol 6 (1-2), pp. 47-59, DOI: <https://doi.org/10.2991/jat.k.190812.001>

Kaplinsky, R. and M. Morris (2018), "Standards, regulation and sustainable development in a global value chain driven world", *International Journal of Technological Learning, Innovation and Development*, Vol. 10, Nos. 3/4, 322-346

Davis, D., R. Kaplinsky and M. Morris (2018), "Rents, Power and Governance in Global Value Chains", *Journal of World-Systems Research*, 2018, Vol. 24, No. 1., <http://jwsr.pitt.edu/ojs/index.php/jwsr>.

Hanlin, R. and R. Kaplinsky (2016), "South–South Trade in Capital Goods – The Market-Driven Diffusion of Appropriate Technology", *European Journal of Development Research*, pp. 1-18, doi:10.1057/ejdr.2016.18

Kaplinsky, R. and M. Morris (2015), "Thinning and Thickening: Productive Sector Policies in the Era of Global Value Chains", *European Journal of Development Studies*, pp. 1-21, doi:10.1057/ejdr.2015.29.

Chataway, J.C., Hanlin, R. and Kaplinsky, R. (2014) Inclusive innovation: an architecture for policy development, *Innovation and Development Vol. 4 (1)* DOI: 10.1080/2157930X.2013.876800

Kaplinsky, R. and M. Farooki (2014). "Promoting Diversification In Resource Rich Economies", *Mineral Economics* , Vol. 27, No 2-3, pp. 103-113.

Kaplinsky, R. (2013), "What Contribution Can China Make to Inclusive Growth in Sub-Sahara Development and Growth?", *Development and Change*, 46(6), pp. 1-22.

Morris, M., R. Kaplinsky and D. Kaplan (2012), 'One thing leads to another – Commodities, Linkages and Industrial Development', *Resources Policy* 37, pp.408-417.

Morris, M., R. Kaplinsky and D. Kaplan (2012), "Introduction", *Resources Policy* 37, pp.405-407.

Fu, X, R. Kaplinsky and J. Zhang (2012), "The Impact of China on Low and Middle Income Countries' Export Prices in Industrial-Country Markets", *World Development*, Vol. 40, No. 8, pp. 1483–1496.

Kaplinsky, R., and M. Z. Farooki, (2011), "What are the implications for global value chains when the market shifts from the north to the south?", *International Journal of Technological Learning, Innovation and Development*, Vol. 4, Nos. 1/2/3, 2011, pp 13-38.

Kaplinsky, R. (2011), "Schumacher meets Schumpeter: Appropriate technology below the radar", *Research Policy*, Vol. 40, No. 2, pp.193-203.

Kaplinsky, R., A. Terheggen and J. P. Tijaja (2011), "China as a Final Market: The Gabon Timber and Thai Cassava Value Chains", *World Development*, Vol. 39, No. 7, pp. 1177-1190

Kaplinsky, R., J. Chataway, R.E. Hanlin, N. Clark, D. Kale, L. Muraguri, T. Papaioannou, P.E Robbins and W. Wamae (2009). "Below the radar: What does innovation in emerging economies have to offer other low-income economies?", *International Journal of Technology Management and Sustainable Development*, 8(3), pp. 177–197.

"Introduction", *European Journal of Development Research*, Vol. 24, No. 1, 2009 (with O. Ajakaiye), pp 479-484

"Chinese FDI in SSA: Engaging with Large Dragons", *European Journal of Development Research*, Vol. 24, No. 1. 2009, pp. 551-569 (with M. Morris).

Kaplinsky, R. and M. Morris (2009), "The Asian Drivers and SSA: Is There a Future for Export-Oriented African Industrialisation?", *The World Economy*, Vol. 32, No. 11.

"What Does the Rise of China Do for Industrialisation in Sub-Saharan Africa?", *Review of African Political Economy*, 2008, Vol. 35, No. 115, pp. 7-22

"Value chain analysis: a tool for enhancing export supply policies", *International Journal of Technological Learning, Innovation and Development*, 2008, Vol. 1 No. 3 2008, PP 283-308 (with M. Morris).

Kaplinsky, R. and D. Messner (2008), "The Impact of the Asian Drivers on the Developing World", *Introduction to World Development Special Issue on Asian Drivers and their Impact on Developing Countries*, Vol. 36, No. 2.

Kaplinsky, R. and M. Morris (2008), "Do the Asian Drivers Undermine Export-Oriented Industrialisation in SSA", *World Development Special Issue on Asian Drivers and their Impact on Developing Countries*, Vol. 36, No. 2,.

"Globalisation, Inequality and Climate Change: What Difference Does China Make?", *Geography Compass*, (2008), Vol. 2, No. 1, pp 67-78.

"The impact of the Asian drivers on Innovation and development strategies: lesson from Sub-Saharan Africa experience", *International Journal of Technological Learning, Innovation and Development*, Vol. 1, No. 1, pp. 65-81, 2007.

"Revisiting the Terms of Trade Revisited: What Difference does China Make?", *World Development*, (2006, Vol. 34, No. 6, pp. 981-995).

"A disaggregated analysis of EU imports: Implications for the study of patterns of trade and technology", *Cambridge Journal of Economics*, Vol. 30, No. 4, pp. 587-612 (2006). (with A. Santos-Paulino)

'Developing the Concept of Knowledge Leakage in Relation to UK Productivity' *The International Journal of Knowledge, Culture and Change Management*, 2006, Vol 6, No 10, pp. 7-14. (with Coles, R., Walsh, K., Mohamed, S., Mynors, D., Grantham, A., Chan, P)

"Globalisation and upgrading: What can (and cannot) be learnt from international trade statistics in the wood furniture sector?", *Industry and Corporate Change*, (2005), 14(4): 679-703 (with J. Readman).

"Innovation and competitiveness: Trends in unit prices in global trade", *Oxford Development Studies* (2005), Vol. 33, Numbers 3-4, pp. 333-355 (with A. Santos-Paulino).

"Getting the Measure of the Electronic Games Industry: Developers and the Management of Innovation", *International Journal of Innovation Management*, 2005 (with A. Grantham)., Vol. 9, No. 2, pp 183-213.

"Industrial policy in developing economies: Developing dynamic comparative advantage in the South African automobile sector", *Competition and Change*, Vol. 8, No. 2, 2004, pp. 153-172 (with J. Barnes and M. Morris).

"The use of a lean production index in explaining the transition to global competitiveness: the auto components sector in South `Africa", *Technovation* 24, 2004, pp. 199-206 (with Sakura Kojima).

"Developing capability through learning networks", *International Journal of Technology Management and Sustainable Development*, Vol. 2, No. 1, 2003, pp 19-38. (with J. Bessant and M. Morris).

"Putting supply chain learning into practice", *International Journal of Production Management*, (with J. Bessant and R. Lamming), Vol. 23, No.

2, (2003), pp167-184. (This paper won the prize for the best paper at the European Management Association's annual meeting in Bath in 2001).

"Governance Matters in Value Chains", *Developing Alternatives*, Vol. 9, No. 1, Winter 2003, pp 11-18 (with M. Morris).

"The Globalization of Product markets and Immiserizing Growth: Lessons from the South African Furniture Industry", *World Development*, 2002, Vol. 30, No. 7, pp. 1159-1178) (with M. Morris and J. Readman).

"Developing manufacturing agility in SMEs", *International Journal of Technology Management*, Vol. 22, No. 1, 2001 (with J. Bessant, S. Brown, D. Francis, and S. Meredith)

"Globalisation and unequalisation: What can be learned from value chain analysis?", *Journal of Development Studies*, 2000, Vol. 37, No. 2., pp 117-146.

"Is Globalisation really all it is cracked up to be?", *Review of International Political Economy*, Vol. 7, No 5. Winter, 2000, pp 1:21

"Developing the agile enterprise", (with J. Bessant, S Brown, S Meredith), *International Journal of Entrepreneurship and Innovation Management*, 2000, Vol 1, No. 3.

"Globalisation and trade policy reform: Whither the automobile components sector in South Africa?", (with J. Barnes), *Competition and Change*, Vol. 4, No. 2, 2000, pp. 211-243.

"Globalisation and the death of the local firm? The automobile components sector in South Africa", (with J. Barnes), *Regional Studies*, Vol. 34, No. 9, pp. 797-812., 2000.

"If you want to get somewhere else, you must run at least twice as fast as that!: The Roots of the East Asian Crisis", *Competition and Change*, Vol. 4, Number 1, 1999, pp. 1-30

"Trade Policy Reform and the Competitive Response in Kwazulu Natal Province, South Africa", *World Development*, Vol. 27, No. 4., 1999, pp. 717-737 (with M. Morris)

"Trade and Industrial Policy on an Uneven Playing Field: The Case of the Deciduous Fruit Canning Industry in South Africa", (with D. Kaplan), *World Development*, Vol. 27, No.10, 1998, pp. 1787-1802

"Concentration, competition policy and the role of small and medium sized enterprises in South Africa's industrial development", *Journal of Development Studies*, Vol. 35, No. 1, October 1998, pp. 139-161 (with Claudia Manning)

"Infant industries and industrial policy: a lesson from South Africa", *Transformation*, No. 34 (1997) (with Edmund Mhlongo), pp. 57-85.

- "India's Industrial Development: An Interpretative Survey", *World Development*, 1997, Vol. 25, No. 5.
- "Economic Restructuring in South Africa: The Debate Continues': A Response", *Journal of Southern African Studies*, Vol. 20, No. 4, December, 1995, pp. 533-537.
- "A Reply to Willmore", *World Development*, Vol. 23 No 3, 1995, pp. 537-540.
- "Capital Intensity in South African Manufacturing and Unemployment, 1972-1990", *World Development*, Vol. 23 No 2, 1995, pp. 179-192.
- "Industrial Restructuring: Facilitating Organisational Change at the Firm Level", *World Development*, Vol. 23 No. 1, 1995 pp. 129-142. (with John Bessant).
- "Technique and System: The Spread of Japanese Management Techniques to LDCs", *World Development*, Vol. 23 No. 1, 1995 pp. 57-72.
- "La nueva flexibilidad: promotora de eficacia económica y social", *Economía y Sociología del Trabajo*, Numero 19/20, Marzo-Junio, 1994, pp. 8-20.
- 'From Mass Production to Flexible Specialization: A Case-study of Micro-economic Change in a Semi-industrialised Economy', *World Development*, 22/3, pp. 337-353, 1994.
- "Export Processing Zones in the Dominican Republic: Transforming Manufactures into Commodities", *World Development*, November 1993 Vol 21 No 11, pp. 1851-1865.
- "A Growth Path for a Post-Apartheid South Africa", *Transformation*, Vol 16, 1991, pp. 49-55
- "TNCs in the Third World: Stability or Discontinuity?", *Millennium, Journal of International Studies*, Vol 20 No 2, 1991, pp. 257-268.
- "Teknolojik evrim' ve Uluslararası Isbolumunde Ucuncu Dunya'nin Yeri", *Endustri Muhendisligi*, Vol 3 No 11 October 1991, pp. 23-31.
- "A Policy Agenda for a Post-Apartheid South Africa", *Transformation*, Vol 12, 1990.
- "Industrial Property Rights in the Uruguay Round and Beyond", *Journal of Development Studies*, Vol 25 No 3, 1989, pp. 373-400.
- "Technological Revolution' and the International Division of Labour in Manufacturing: A Place for the Third World?", *European Journal of Development Research*, Vol 1 no 1, 1989, pp. 5-37.

"Restructuring the Capitalist Labour Process: Some Lessons from the Car Industry", *Cambridge Journal of Economics*, Vol 12 No 4, 1988, pp. 451-470.

"Crisis in the World Economy: Some Consequences for Industrial Policy and South-South Trade in Technology", *Development and South-South Cooperation*, Vol III No 5, December 1987, pp. 52-66.

"Human Factors in the Labour Process and the International Division of Labour in Manufacturing", *Advanced Manufacturing Technology*, Vol 2 no 1, pp. 15-24, 1987.

"State Policies towards the Development and Diffusion of Microelectronics Technologies", *Vierteljahresberichte de Entwicklungslander forschung*, Nr. 103, March 1986, pp. 19-28.

"Locational Patterns of Direct Foreign Investment and the New International Division of Labour in Manufacturing", *EADI Bulletin*, 1-85, pp. 69-94, 1985.

"Does Industrialisation Beget Deindustrialization which Begets Reindustrialization", *Journal of Development Studies*, October 1985, Vol 22 no 1 pp. 227-42.

"The Changing Patterns of Industrial Location and International Competition: The Role of TNCs and the Impact of Micro-Electronics", *Bulletin of European Association of Development and Training Institutes*, 1.85: 69-95

"The International Context for Industrialisation in the Coming Decade", in *Journal of Development Studies*, Vol 21 No 1, 1984, pp. 75-96.

"Computer-Aided Design: An Assessment of the Current International Economic Setting and Policy Implications", *Industry and Development*, No 11 pp. 43-57, 1982, <https://digitallibrary.un.org/record/88396?ln=en>.

"Electronics-Based Automation Technologies and the Onset of Systemofacture: Some Implications for Third World Industrialisation", *World Development*, 1985, Vol 13 No 3, pp. 423-440.9 (Reprinted in *Management of Interdependence*, Autumn, 1985, Geneva: Centre for Applied Studies in International Negotiations).

"Indigenous Technical Change and Appropriate Technology: What We Can Learn from Sugar Processing", *World Development*, Vol. 12 No. 4 pp. 419-432, 1984.

"Firm Size and Inventive Activity Reconsidered", *Journal of Industrial Economics*, Vol XXXIII, No.I, 1983 pp. 39-59.

"Foreign Capital, Employment and Accumulation in Kenya", *Development and Change*, July, 1981, pp. 441-458.

"Radical Technical Change and Manufactured Export Growth Strategies", Special Issue on Trends in International Transfer of Technology, *Vierteljahresberichte de Entwicklungslanderforschung*, March 1980.

"Development Strategies in the Microelectronics Age", *Development Research Digest*, No 3, Spring, 1980.

"Microelectronics and the Third World", *Radical Science Journal*, No 10, 1980

"Capitalist Accumulation in the Periphery: The Kenyan Case Re-Examined", *Review of African Political Economy*, No 15, 1980.

"Inappropriate Products and Techniques in UDCs: The Case of Breakfast Foods in Kenya", *Review of African Political Economy*, No 14, 1980.

"Export Oriented Growth: A Large International Firm in a Small Developing Country", *World Development*, Vol 7, No 8/9, 1979, pp. 825-834.

'Accumulation and the Transfer of Technology: Issues of Conflict and Mechanisms for the Exercise of Control', *World Development*, Vol. 4, No. 3, pp 197-224, 1976.

'Health and Development Planning: Lessons from the People's Republic of China', *Journal of Development Studies*, January 1973. 9:2, 213-232, DOI: [10.1080/00220387308421438](https://doi.org/10.1080/00220387308421438). (with S Rifkin)

CHAPTERS IN BOOKS

Kaplinsky, R. (2019), "Rent and inequality in global value chains" in S. Ponte, G. Gereffi and G. Raj-Reichert (eds.), *Handbook on Global Value Chains*, Cheltenham: Edward Elgar.

Kaplinsky, R (2019), "Technology for Sustainable Development" in Machiko Nissanke and Jose Antonio Ocampo (eds.), *The Palgrave Handbook of Development Economics: Critical Reflections on Globalisation and Development*, London: Palgrave Macmillan.

Kaplinsky, R. and M. Farooki (2017), "Raul Prebisch and the terms of trade; How things have changed...." in M. E. Margulis (ed), *The Global Political Economy of Raul Prebisch*, London: Routledge

Kaplinsky, R. (2016), "Global Value Chains, Where they came from, where they are going and why this is important", London: in J. Weiss and M. Tribe (eds.), *Handbook on Industrial Development*, Abingdon: Routledge

Kaplinsky, R. (2014), 'Bottom of the pyramid Innovation' and pro-poor growth in M.A. Dutz, Y. Kuznetsov, E. Lasagabaster and D. Pilat (eds.), *Making Innovation Policy Work: Learning from Experimentation*, Paris and New York: OECD and The World Bank.

Kaplinsky, R. (2014), "Innovation for Pro-Poor Growth: From Redistribution with Growth to Redistribution through Growth", in G. A. Cornia and F. Stewart (eds.), *Towards Human Development: New Approaches to Macroeconomics and Inequality*, Oxford: Oxford University Press.

Kaplinsky, R. (2014), "Shudder: The Challenges to Industrial Policies in the early 21st Century in Low- and Middle-Income Economies" in R. Van Tulder, A. Verbeke and R. Strange (eds.), *International Business and Sustainable Development*, European International Business Association Vol. 8 Progress in International Business Research, Bingley, UK: Emerald Publishing

Kaplinsky, R. (2014), "Walking (Stumbling?) on Two Legs: Meeting SSA's Industrialization Challenge", in J. E. Stiglitz, J. Yifu Lin and E. Patel (eds.), *The Industrial Policy Revolution II*, International Economic Association, London: Macmillan.

Kaplinsky, R. (2013), "Past innovation trajectories in Latin America and current innovation trajectories in China" in G. Dutrénit, K. Lee, O. Vera-Cruz and R. Nelson (eds), *Learning, capability building and development*, EADI Global Development Series, Palgrave MacMillan, pp. 263-281.

Kaplinsky, R. and Morris. M. (2012), "The structure of supply chains and their implications for export supply" in D. A. Ajakaiye and T. A. Ojeyide (eds.), *Trade, Infrastructure and Development*, London: Routledge.

Kaplinsky, R. and Morris. M. (2012), "Chinese Overseas FDI in Sub-Saharan Africa", in I. Alon, M. Fetscherin and P. Gugler (eds.), *Chinese International Investments*, Basingstoke, Palgrave Macmillan (Forward by P. Buckley)

Fu, X., D. Kale, and R. Kaplinsky (2012), "Global manufactures prices, 1988-2006: how do Chiina's exports compare?" in Xiaolan Fu (ed.), *China's Role in Global Economic Recovery*, Abingdon: Routledge.

"Global Value Chains, the Crisis, and the Shift in Markets from the North to the South", in in O. Cattaneo, G. Gereffi and C. Staritz (eds.), (2010), *Global Value Chains in a Postcrisis World: A Development Perspective*, Washington, D.C.: The World Bank. (with M. Z. Farooki)

"What Happens when the Market Shifts to China? The Gabon Timber and the Thai Cassava Value Chains", in O. Cattaneo, G. Gereffi and C. Staritz (eds.), (2010), *Global Value Chains in a Postcrisis World: A Development Perspective*, Washington, D.C.: The World Bank. (with A. Terheggen and J. P. Tijaja)

"Impacts and challenges of a growing relationship between China and sub-Saharan Africa" in V. Padayachee (ed.), *The Political Economy of Africa*, Abingdon: Routledge (with D. McCormick and M. Morris) (2010)

"The Asian Drivers and SSA: MFA Quota Removal and the Portents for African Industrialisation" in D. McCormick, J. A. Kuzilwa and T. Gebre-

Egeziabher (eds.), *Industrialising Africa in the Era of Globalisation: Challenges to Clothing and Footwear*, Nairobi: University of Nairobi Press, 2009 (with M. Morris).

“Environment, inequality and the internal contradictions of globalisation”, in Wilson, G., P. Furniss and R. Kimbowa (eds.) (2009), *Environment, Development and Sustainability: Perspectives and cases from around the world*, Oxford: Oxford University Press.

“How can Sub-Saharan Africa Turn the China-India Threat into an Opportunity”, in M. Kremer, P. van Lieshout and R. Went (eds.), *Doing Good or Doing Better: Development Policies in a Globalizing World*, The Hague: Amsterdam University Press (2009).

“Asian Drivers, Commodities Prices and the Terms of Trade”, in M. Nissanke and G. Mavrotas (eds.), *Commodities, Governance and Economic Development under Globalization*, Basingstoke: Palgrave Macmillan (2009).

“Two scientists for every man, woman and dog in America? How sustainable is globalisation?” in L. Yueh (ed.) (2009). *The Law and Economics of Globalisation: New Challenges for a World in Flux*, Cheltenham, UK: Edward Elgar

“L’impatto della Cina sull’Africa Sub-Sahariana Visto Attraverso la Lente Del Commercial”, *Afriche e Orienti,: Revista di Studi ai Confini tra Africa Mediterraneo e Medio Oriente*, Anno X, N.2, pp 35-48, 2008, (with M. Morris).

“Innovation, Poverty and Inequality: Cause, Coincidence, or Co-evolution?”, in Lundvall, B-A; J K Joseph, C. Chaminade and J. Vang (eds.) (2009), *Handbook of Innovation Systems and Developing Countries. Building Domestic Capabilities in a Global Context*, Cheltenham: Edward Elgar (with S. E. Cozzens)

“China, Commodities and the Terms of Trade” in D. Greenaway, C. Milner and Shujie Yao (Eds.) (2010), *China and the World Economy: Consequences and Challenges*, London: Palgrave.

“Winners and losers: China’s trade threats and opportunities for Africa”, in L. Wild and D. Mephram (eds.) (2006), *The New Sinosphere: China in Africa*, London: Institute of Public Policy Research.

“How can agricultural commodity producers appropriate a greater share of value chain incomes?”, in A. Sarris and D. Hallam (eds.) (2006), *Agricultural Commodity Markets and Trade: New Approaches to Analyzing Market Structure and Instability*, Cheltenham: Edward Elgar and FAO.

“Is Globalisation All It is Cracked Up To Be?” in R. Benewick, M. Blecher and S. Cook (eds.) (2003), *Asian Politics in Development: Essays in Honour of Gordon White*, London: Frank Cass.

"How do South African firms respond to trade policy reform?" (with M. Morris) in H. Jalilian, M. Tribe and J. Weiss (2000), Industrial development and Policy in Africa, Cheltenham: Edward Elgar.

"Conclusions", in *Europe's Next Step: Organisational Innovation, Competition and Employment*, (with L. Andreasen, B. Coriat, and F. den Hertog) in *Europe's Next Step: Organisational Innovation, Competition and Employment*, L. Andreasen, B. Coriat, F. den Hertog F, and R. Kaplinsky, London: Frank Cass, (1995).

"Does Participation in Ownership Foster Participation in Continuous Improvement? The Case of Baxi Partnership", in *Europe's Next Step: Organisational Innovation, Competition and Employment*, L. Andreasen, B. Coriat, F. den Hertog F, and R. Kaplinsky, London: Frank Cass, (1995).

"Patients as Work in Progress: Organisational Reform in the Health Sector" in *Europe's Next Step: Organisational Innovation, Competition and Employment*, L. Andreasen, B. Coriat, F. den Hertog F, and R. Kaplinsky, London: Frank Cass, (1995).

"Flexible Organisation: European Industry in Transition" (with Andreasen L, B Coriat and F den Hertog) in *Europe's Next Step: Organisational Innovation, Competition and Employment*, L. Andreasen, B. Coriat, F. den Hertog F, and R. Kaplinsky, London: Frank Cass, (1995).

"The Role of TNCs in the transfer of organizational technologies to LDCs", in S Mansoor Murshed and Kunibert Raffer (1993), *Trade, Transfer and Development: Problems and Prospects for the Twenty-First Century*, Aldershot, Edward Elgar Publishing.

"TNCs in the Third World: Stability or Discontinuity?" in Eden Lorraine and Potter Evan H (eds), *Multinationals in the Global Political Economy*, London: Macmillan, 1993.

"Meeting the Global Challenge: A Framework for Industrial Revival in South Africa", (with A Joffe, D Kaplan and D Lewis) in Baker Pauline, Boraine Alex and Krafchik Warren (eds) *South Africa and the World Economy in the 1990s*, Cape Town, David Philips/Washington, The Brookings Institute, 1993.

"Derechos de propiedad industrial e intelectual a partir de la Ronda Uruguay", in K Gomez Uranga et al (eds), *El Cambio Tecnológica Hacia el Nuevo Milenio: Debates y Nuevas Teorias*, FUHEM, Madrid, 1992, pp. 299-348.

"The Manufacturing Sector and Regional Trade in a Democratic South Africa", in *Towards a Post-Apartheid South Africa: Political and Economic Relations in Southern Africa*, G Maasdorp and A Whiteside (eds), London, Macmillan, 1992.

"Post Fordist Industrial Restructuring: Policy Implications for an Industrially Advanced Economy" in Jenson Jane, Mahon Rianne and Bienefeld

Manfred (eds), *Production, Space, Identity*, Toronto, Canadian Scholars Press, 1993.

"How getting the prices right helped the wrong people", in Colclough and Manor (eds), *States or Markets? Neo-Liberalism and the Development Policy Debate*, Oxford, Oxford University Press, 1991.

"Is and what is post-fordism?", in P W Schulze and J Willman (eds), *Economic and Social Policy in Europe*, Occasional Paper No 1, Friedrich Ebert Foundation, London, 1990.

"Technological Revolution and the Restructuring of Trade and Production - Some Implications for Western Middle powers and the Newly Industrializing Countries", in C Pratt (ed), *Middle Power Internationalism: Experience, Opportunities, and Constraints*, Kingston, Queens University Press, 1990.

"Technology Transfer, Adaptation and Generation: A Framework for Evaluation" in Chatterji (ed), *Technology Transfer in Developing Countries*, London, Macmillan, 1990.

"Intellectual Property and International Trade", in C. Juma and J. B. Ojwang, *Innovation and Sovereignty: The Patent Debate in African Development*, Nairobi, African Centre for Technology Studies, 1989.

"Industrial Restructuring in LDC's: The Role of Information Technology", in M. Fleury (ed), *Padroes Tecnologicos e Politicas de Gestao: Comparacoes Internacionais*, Sao Paulo, Universidade de Sao Paulo e Universidade Estadual de Campinas, 1989.

"Small Scale Sugar: An Overview" in Brooks, Jones, Kaplinsky and McChesney, *The State of Small Scale Sugar*, London, Intermediate Technology Press, 1989.

"Technological change: The increasing costs of "keeping up" in the microelectronics era", in C. Stoneman (ed), *Zimbabwe's Prospects*, Macmillan, 1988.

"Appropriate Technology in Sugar Manufacturing", F Stewart (ed), *Macro Policies for Appropriate Technology*, Washington ATI 1986.

"The process of indigenous technical change" in M. Carr (ed), *The AT Reader Theory and Practice in Appropriate Technology*, London, Intermediate Technology Publications, 1985.

'Comparative Advantage by Design', in R Langdon (ed), *Technological Change and Design*, London, Royal College of Art, 1985.

"Trade in Technology - Who, What, Where and When?" in M Fransman and K King, *Technological Capability in the Third World*, London, Macmillan, 1984.

"The Technological Gap between DCs and LDCs in the Electronic Era", in S Jacobssen and J Sigurdson (eds) *Technological Trends and Challenges*

in Electronics - Dominance of the Industrial World and Responses in the Third World, 1982.

"Accumulation at the Periphery: A Special Case?" in R Cohen (ed) *African Islands and Enclaves*, Beverley Hills and London, Sage Publications, 1982.

"Appropriate Technology and Development" in *UNITAR Working Series on Technology Domestic Distribution and North South Relations*, New York UN, 1981.

Chapter on Industry in *IDS Employment Mission Report on Seychelles*, Brighton, mimeo, August, 1980.

"A Country Study: Food Processing in Kenya" in *Readings on the Food Processing Industry in Developing Countries*, C Baron, (ed), Pergamon, 1979.

"Small Scale Industries and International Economic Cooperation: The Kenyan Experience" in *UNIDO 2000 - New Perspectives, Collected Background Papers Vol 6*, 1979.

"Technical Change and the Multinational Corporation: Some British Multinationals in Kenya" in R. Kaplinsky (ed), *Readings on the Multinational Corporation in Kenya*, Nairobi: Oxford University Press.

"Technical Change and the Multinational Corporations: Some British Multinationals in Kenya and India", with S Chisti in *Transfer of Technology by Multinational Corporations*, D Germidis, (ed), OECD Paris, 1977.

"Choice of techniques for can making in Kenya, Tanzania and Thailand" with C Cooper, R Bell and W Satyayakwit in A Bhalla (ed), *Technology and Employment in Industry*, ILO, Geneva, 1975.

"Secondhand Equipment in Undeveloped Countries: Some Generalisations from the Kenyan Maize Bag Industry", with C Cooper in Choice of Techniques and the Employment Problem in Manufacturing, A Bhalla (ed), *Technology and Employment in Industry*, ILO, Geneva, 1975.

"The Supply of Technology, The Demand for Technology. Some Significant Problems", *Scienza Tecnica* 74, 1973.

"Financial Aid to Ceylon", *Technical Paper No 22* in ILO Ceylon Report, 1970.

OTHER

Kaplinsky, R. (2018), *Fostering inclusive innovation for sustainable development*, Pathways for Prosperity Commission Background Paper Series; no. 9. Oxford: United Kingdom,
<https://pathwayscommission.bsg.ox.ac.uk/Raphael-Kaplinsky-paper>

Kaplinsky, R. (2016), "The End of Industrial Policy? Why a Productive Sector Policy Agenda Better Meets the Needs of Sustainable Income Growth", *Commonwealth Secretariat Policy Briefing Paper*

Kaplinsky, R. (2015), "Technological upgrading in global value chains and clusters and their contribution to sustaining economic growth in low and middle income economies", *UNIDO/UNU-MERIT background papers for the UNIDO, Industrial Development Report 2016: IDR 2016 WP 3*

Kaplinsky, R. (2016), Inclusive and Sustainable Growth: The SDG Value Chains Nexus, *Framework Paper*, International Centre for Trade and Sustainable Development, Geneva.

Kaplinsky, R. (2015), "Technological Upgrading in Global Value Chains and Clusters and their Contribution to Sustaining Economic Growth in Low and Middle Income Economies", *Inclusive and Sustainable Industrial Development Working Paper Series, WP 03/2015*. Vienna: United Nations Industrial Development Organization.

UNOSSA (2014), *Infrastructure in the Context of Africa's Cooperation with New and Emerging Development Partners*, New York: United Nations Office of the Special Adviser on Africa and NEPAD

Kaplinsky, R. and M. Morris, (2014), "Thinning and Thickening: Productive Sector Policies in the Era of Global Value Chains", *IKD Discussion Paper No. 74*, Milton Keynes, Open University

Kemp R., J. A. Adeoti, J. Ndichu, A. E. Obayelu, J. Blohmke, R. Kaplinsky and K. Urama (2014), "Innovation policy for eco-innovation in developing countries in Africa", Paper presented to Lundvall symposium "Innovation policy – can it make a difference?", Utzon Center, Aalborg, Denmark, 13-14 March.

Adeoti, J., R. Kemp, J. Ndichu, A. E. Obayelu, J. Blohmke, R. Kaplinsky and K. Urama (2013), "Diffusion strategy of green technology and green industry in Africa. A study of renewable energy technology market, and energy efficiency adoption in Cassava and Maize processing industries in Kenya and Nigeria", *Final report of study for KEEI and UNIDO*, Maastricht: United Nations University.

Kaplinsky. R. (2013), *Infrastructure Development within the Context of Africa's Cooperation with New And Emerging Development Partners*, Report Prepared For The United Nations Office Of The Special Adviser On Africa, N. York: Office for the Special Adviser on Africa, United Nations.

Chataway, J., R. E. Hanlin and R. Kaplinsky (2013), "Inclusive Development: An Architecture for Policy Development", *IKD Working Paper 65*, Milton Keynes: The Open University.

Kaplinsky, R. and M. Z. Farooki (2009), *Africa's Cooperation with New and Emerging Development Partners: Options for Africa's Development*, New

York: Office of the Special Adviser on Africa, United Nations,
www.un.org/africa/osaa/reports/emerging_economies_2009.pdf

Kaplinsky, R. (2012), "Walking (stumbling?) On two legs: meeting SSA's industrialisation challenge", *Paper presented to International Economic Association Conference on Industrialisation in Africa*, July 2012, Pretoria.

Kaplinsky, R. (2012), "China's impact on commodity prices", *ThisisAfricaOnline.com*, <http://www.thisisafricaonline.com/News/China-s-impact-on-commodity-prices>

Morris, M., R. Kaplinsky and D. Kaplan (2011), A Conceptual Overview to Understand Commodities, Linkages and Industrial Development in Africa, *Prepared for Africa Export Import Bank*.

Kaplinsky, R. (2011a), Building on linkages - commodities and industrial development, *Report prepared for UNIDO*, Development Policy and Practice, Milton Keynes: The Open University

Kaplinsky, R. (2011b), Promoting linkages from the commodities sectors to industry in Sub-Sahara Africa and Central Asia, *Report prepared for UNIDO*, Development Policy and Practice, Milton Keynes: The Open University

Kaplinsky, R. (2011c), Industrial policy for the promotion of linkages from the resource sector, *Report prepared for UNIDO*, Development Policy and Practice, Milton Keynes: The Open University

Kaplinsky, R. (2011), "What Contribution can China make to Inclusive Growth in SSA?", *Paper presented to China Rising Conference*, Bristol, 5-6th December.

Kaplinsky, R. (2011), "Innovation for Pro-Poor Growth: From Redistribution with Growth to Redistribution through Growth", *Paper presented to Conference in Honour of Sir Richard Jolly - "From structural adjustment to human development: impact on poverty and inequality"*, Brighton: Institute of Development Studies.

Morris, M., R. Kaplinsky and D. E Kaplan (2011a), "One Thing Leads to Another" – Commodities, Linkages and Industrial Development: A Conceptual Overview, *MMCP Discussion Paper 12*, The Open University and the University of Cape Town, <http://commodities.open.ac.uk/discussionpapers>

Morris, M., R. Kaplinsky and D. E Kaplan (2011b), Commodities and Linkages: Industrialisation in Sub-Saharan Africa, *MMCP Discussion Paper 13*, The Open University and the University of Cape Town, <http://commodities.open.ac.uk/discussionpapers>

Morris, M., R. Kaplinsky and D. E Kaplan (2011c), Commodities and Linkages: Meeting the Policy Challenge, *MMCP Discussion Paper 14*, The

Open University and the University of Cape Town,
<http://commodities.open.ac.uk/discussionpapers>

Kaplinsky, R. (2011), "Technology Transfer: What can Africa Expect from China and India?," *Background Paper for African Economic Outlook, 2011*, Paris: OECD Development Centre.

"The Determinants of Upgrading and Value Added in the African Clothing Sector: The Contrasting Experiences of Kenya and Madagascar", *IKD Working Paper No 59*, Milton Keynes: The Open University,
<http://www.open.ac.uk/ikd/documents/working-papers/ikd-working-paper-59.pdf> (with W. Wamae).

Kaplinsky, R. (2010), The Role of Standards in Global Value Chains and their Impact on Economic and Social Upgrading, *Policy Research Working Paper 5396*, Washington: World Bank,

Kaplinsky, R., A. Terheggen and J. P. Tijaja (2010), "What Happens when the Market Shifts to China? The Gabon Timber and the Thai Cassava Value Chains", *World Bank Policy Research Working Paper No. 5206*, Washington DC: The World Bank.

Kaplinsky, R. and M. Z. Farooki (2010), "What Are the Implications for Global Value Chains When the Market Shifts from the North to the South?" *World Bank Policy Research Working Paper 5205*, Washington DC: The World Bank.

Kaplinsky, R., M. Z. Farooki, A. Terheggen and J. P. Tijaja (2010), "What happens in global value chains when the market shifts from the north to the south?", *Paper prepared for World Bank project on Global Crisis and Value Chain Governance*, Development Policy and Practice, Milton Keynes: The Open University.

Fu, X., R. Kaplinsky and J. Zhang (2009), "The Impact of China's Exports on Global Manufactures Prices", *Sanjaya Lall Programme for Technology and Management for Development, Working Paper 32*, Queen Elizabeth House, Oxford: Oxford University.

Chataway, J. N. Clark, R. Kaplinsky, D. Kale, P. Robbins and R. Hanlin (2009), "Below the Radar: What Does Innovation in the Asian Driver Economies Have to Offer Other Low Income Economies?" *IKD Working Paper No. 41*, Milton Keynes: Open University

Kaplinsky, R. and M. Z. Farooki (2008), "Africa's Cooperation with New and Emerging Development Partners: Options for Africa's Development", *Report prepared for The Office of Special Advisor on Africa (OSAA)*, Dept. of Economic and Social Affairs (DESA), the United Nations, Milton Keynes, Development Policy and Practice, Open University.

Kaplinsky, R., D. McCormick, and M. Morris (2008), "China and Sub Saharan Africa: Impacts and Challenges of a Growing Relationship", *SAIS Working Paper no. 05-08*, Washington: The Johns Hopkins University, Paul H. Nitze

School of Advanced International Studies. Available from www.sais-jhu.edu/academics/regional-studies/africa.

Kaplinsky, R., J. Readman and O. Memodovic, (2009), Upgrading strategies in global furniture value chains, *UNIDO Research and Statistics Branch, Working Paper 09/2008*, UNIDO: Vienna.

Kaplinsky, R. (2008), "China and the Terms of Trade: The Challenge to Development Strategy In SSA", Paper prepared for Second Mount Holyoke Conference on Global Challenges, *The Rise of China: Global Challenges and Opportunities*, Holyoke, MA.

Kaplinsky, R. (2008), "The Rise of China and India: Challenge or Opportunities for Development?", *The House Magazine*, February 11th, London: House of Commons Magazine, p. 28

"Mapping Sustainable Production in Ghanaian Cocoa: *Report to Cadbury Schweppes PLC*", 2007 (with S. Barrientos, K. Asenso-Okyere, S. Asuming-Brempong, D. Sarpong, N. Akua Anyidoho and J Leavy).

"Promoting Local Competitiveness: Policy Challenges in the Context of Changing Terms of Trade", *Paper Prepared for Inter-American Development Bank*, Department of Policy and Practice, Open University: Milton Keynes, 2007.

"The Impact of China and India on SSA: A Methodological Framework", *Paper Prepared for the African Economic Research Consortium*, Department of Policy and Practice, Open University: Milton Keynes, 2007.

"The Asian Drivers and Sub-Saharan Africa: The Challenge to Development Strategy", (with S. Robinson and D. Willenbockel), *Paper Prepared for the African Economic Research Consortium*, Department of Policy and Practice, Open University: Milton Keynes.

"Dangling by a thread: How sharp are the Chinese scissors?", *Paper prepared for Africa Policy Division, DFID*, with (D. McCormick and M. Morris), 2006, Brighton: Institute of Development Studies

"The Impact of China on SSA", *Agenda-setting Paper prepared for DFID*, with (D. McCormick and M. Morris), 2007, Brighton, Institute of Development Studies.

'Towards A Taxonomy of Knowledge Leakage: Literature and Framework.' *Proceedings of the British Academy of Management (BAM)*, Belfast, UK. 12th - 14th September 2006. (with Grantham, A., Mynors, D., Mohamed, S., Walsh, K., Coles, R., and Chan, P.

"The Structure of Supply Chains and their Implications for Export Supply", *Paper prepared for African Economic Research Consortium*, (2007), with M. Morris, Nairobi: AERC

“Impact of the Asian Drivers on SSA Development Strategies”, *Paper presented to AERC Asian Drivers Workshop*, 12-14 February 2007, Mombasa.

“The impact of China and India on the developing world”, *CESifo Forum* 1/2007, pp. 15-21 (2007).
http://portal.ifo.de/portal/page?_pageid=36,102832&_dad=portal&_schema=PORTAL&item_link=forumindex1-07.htm

“China and the Global Terms of Trade”, in Kaplinsky (ed.) (2006), *Asian Drivers: Opportunities and Threats*, *IDS Bulletin*, Vol. 37, No. 1,

“Deep and Shallow Integration in Asia: Towards a Holistic Account”, in Kaplinsky (ed.) (2006), *Asian Drivers: Opportunities and Threats*, *IDS Bulletin*, Vol. 37, No. 1. (with D. Evans and S. Robinson)

The Global Wood Furniture Value Chain: What Prospects for Upgrading by Developing Countries? The Case of South Africa, *Sectoral Studies Series*, Vienna: UNIDO. (With O. Memedovic, M. Morris and J. Readman) (2003)

“Can an agricultural ‘commodity’ be de-commodified, and if so who is to gain?”, *Discussion Paper*, No. 380, Brighton: Institute of Development Studies, University of Sussex. (with R. Fitter).(2001)

Integrating SMEs in Global Value Chains: Towards Partnership for Development, Vienna: UNIDO (with J. Readman) (2001).

“Swimming with the Tide: The South African Auto Industry Moves towards World Class Manufacturing”, *World Markets Series Business Briefing: Global Automotive Manufacturing and Technology*, 2001, London: World Markets Research Centre.

“Who gains from product rents as the coffee market becomes more differentiated? A value chain analysis”, *IDS Bulletin*, Vol. 32, No. 3, 2001 (with R. Fitter).

“Globalisation, Industrialisation and Sustainable Growth: The Pursuit of the Nth Rent”, *Discussion Paper 365*, Brighton: Institute of Development Studies, University of Sussex, 1998.

“If you want to get somewhere else, you must run at least twice as fast as that!: The roots of the East Asian Crisis”, *IDS Bulletin*, Vol. 30, No. 1, January 1999.

“The Agro-Processing Sector and the NSI”, in *Science, Technology and Innovation Policy Review: Jamaica*, Geneva, UNCTAD, 1997.

“Restructuring in the Former Soviet Union: Some Thoughts on the Implications for Industrial Policy in the Particular Context of the Kazakhstan Economy”, in *TACIS: Policy and Legal Advice: European Expertise Service, 1992-1994, 1st Review Exercise*, 1994.

"South African Industrial Performance and Structure in a Comparative Context", *IDS Discussion Paper No 340*, February, 1994.

"Manufacturing Change" (with A Joffe, D Kaplan and D Lewis), *Indicator South Africa*, Vol 11. No 2, Autumn 1994, pp. 53-62.

"An Industrial Strategy for a Post-Apartheid South Africa", (with A Joffe, D Kaplan and D Lewis), *IDS Bulletin*, Vol. 25 No. 1, January 1995.

"Is Flexible Specialisation Relevant for LDCs?", *Discussion Paper*, OECD Development Centre, Paris.

"The Diffusion of Organisational Reform in Developing Countries: A Case Study from India", *IDS Bulletin*, Vol 24 no 2, April 1993.

"From Mass Production to Flexible Specialisation - Micro Level Restructuring in a British Engineering Firm", *IDS Discussion Paper No 321*, March 1993.

"From Mass Production to Flexible Specialisation: A Case-Study from a Semi-Industrialised Economy", *IDS Discussion Paper 295*, Brighton: Institute of Development Studies, 1991

"Concluding Remarks", *Proceedings of Technology and Reconstruction Colloquium*, University of Cape Town, 3-5 May, 2001.

"Direct Foreign Investment in Third World Manufacturing: Is the Future an Extension of the Past?", *IDS Bulletin*, Vol 22 no 2, 1991.

"Industrial restructuring in LDCs: The role of information technology", *Anais Seminar Internacional Padroes Tecnologicos e Politicas de Gestao*, USP/UNICAMP, 1990.

Editor and Contributor, *IDS Bulletin*, "Industrial Restructuring: Policy Implications for Developing Countries", Vol 21 No 1.

"Industrial Restructuring: Some Questions for Education and Training", *IDS Bulletin*, Vol 20 No 1.

"Restructuring the Capitalist Labour Process: Some Lessons for Organisation Theory", *IDS Bulletin*, Vol 19 No 4.

"Guns and/or Butter: Perspective on the Military and the Economy", *IDS Bulletin*, Vol 16 no 4, pp. 73-9..

"The Henderson Hospital - An Economic Evaluation of Group Therapy as a Form of Treatment", (with J Roberts) *mimeo*, Sutton, 1980.

"MNCs and Employment in Kenya", *ILO Working Paper*, ILO, Geneva, 1980.

Oldham, G. and R. Kaplinsky, (undated), "An Early Warning System to Alert Developing Countries to the Advantages and Disadvantages of New Scientific

and Technological Developments”, *Paper Prepared for UN Centre on Science and Technology for Development*, Brighton, Science Policy research Unit.

"An Evaluation of the Operations of the General Superintendence Company on the Surveillance of Imports in a Developing Country", Nairobi, *Ministry of Commerce and Industry*, 1978.

"Control and Transfer of Technology Agreements", *IDS Bulletin* Vol 6, No. 4 March 1975.

"Technical Change and the MNC: Some Global Considerations", with K Hoffman, *IDS Working Paper No 250, University of Nairobi, also published as Occasional Paper*, OECD Development Centre, 1975.

Technical Change and the Multinational Corporation: Some British Multinationals in Kenya, *IDS Working Paper No 228*, University of Nairobi. Also published as *Occasional Paper*, OECD Development Center, 1975.

Choice of Technology in the Kenyan Food Processing Sector with particular reference to the Situation and Orientation of Technical Change, *IDS Working Paper No 22*, University of Nairobi, 1975.

"Innovation in Gari Production: The Case for an Intermediate Technology", *IDS Working Paper*, Brighton, 1973.

"The Myth of the Revolutionary Proletariat", *IDS Bulletin*, Brighton, 1970.

Suspended Sentence, Cape Town, South African Institute of Relations, (with M G Whisson), 1969.

TRAINING MANUALS AND POLICY BRIEFINGS

Manufacturing Excellence in South Africa: CD and Accompanying Manuals, Output of three-year programme funded by Dept of Science and Technology, 2004 (with J. Barnes, J. Bessant and M. Morris).

'*Manufacturing Excellence for World Class Manufacturing: a resource package*', CD plus 6 printed manuals, Benchmarking and Manufacturing Analysts, 2003, (with J. Bessant, J. Barnes, N. Dunne and M. Morris) (435p)

'*Helping firms to hear their markets*', Policy Brief No 1, School of Development Studies, University of Natal, 2000 (with J. Barnes, N. Dunne, S. Moodley and M. Morris) www.nu.ac.za/csds

'*Enterprise restructuring: implementing world class manufacturing*', Policy Brief No 2, School of Development Studies, University of Natal, 2000 (with J. Barnes, N. Dunne, S. Moodley and M. Morris) www.nu.ac.za/csds

'*Supply chain management: assisting South African firms to upgrade*', Policy Brief No 3, School of Development Studies, University of Natal, 2000 (with J. Barnes, N. Dunne, S. Moodley and M. Morris) www.nu.ac.za/csds

'Helping firms to work together to achieve collective efficiency', Policy Brief No 4, School of Development Studies, University of Natal, 2000 (with J. Barnes, N. Dunne, S. Moodley and M. Morris) www.nu.ac.za/csds

'Benchmarking: a tool for global competitiveness', Policy Brief No 5, School of Development Studies, University of Natal, 2000 (with J. Barnes, N. Dunne, S. Moodley and M. Morris) www.nu.ac.za/csds

'Successful Manufacturing in a Global Environment: A resource to help facilitate capability development in the South African metals industry', mimeo and CD, Brighton: Centre for Research in Innovation Management, University of Brighton, Greanbean Publications, 2002, (with J. Bessant and M. Morris) (111 pages)

'Looking for World Class: A Guidebook for Assessing Competitive Performance and Practice', mimeo and CD, Brighton: Centre for Research in Innovation Management, University of Brighton and Institute for Development Studies, University of Sussex, 2001 www.centrim.bus.bton.ac.uk (with J. Bessant, and M. Morris) (106p)